

**Guotai Junan Securities (Hong Kong) Limited**
**Overseas market and bonds fees schedule (Effective from 30 Apr 2025)**

Market	Cur	Trading Hour (HKT)	Settle. Cycle	Trading Fees	Custodian Fees	Corporate Action Fees
<b>Stock</b>						
Australia	AUD	07:00-13:00 (Summer Time) 08:00-14:00 (Winter Time)	Buy: T+1 Sell: T+3		• Stock delivery charges: AUD230.00 per stock	• Dividend collection fee: AUD25.00 / USD25.00
Canada	CAD	21:30-04:00 (Summer Time) 22:30-05:00 (Winter Time)	T+1		• Stock delivery charges: CAD210.00 per stock	• Dividend tax: 30% of dividend (subject to stock) • Dividend collection fee: CAD12.00 per stock
France	EUR	15:00-23:30 (Summer Time) 16:00-00:30 (Winter Time)	T+2		• Stock delivery charges: EUR140.00 per stock	• Dividend collection fee: EUR10.00 per stock
Germany	EUR	15:00-23:30 (Summer Time) 16:00-00:30 (Winter Time)	T+2		• Stock delivery charges: EUR140.00 per stock	• Dividend collection fee: EUR10.00 per stock
Switzerland	CHF/USD/ EUR/GBP	15:00-23:30 (Summer Time) 16:00-00:30 (Winter Time)	T+2	<ul style="list-style-type: none"> <li>• Exchange fee: 0.015% (Max. CHF150 / USD 150 / EUR150 / GBP150 )</li> <li>• Handling fee: CHF1.5/EUR1.5/USD1.5/ GBP1.5</li> <li>• Clearing fee: CHF 0.38/EUR 0.38/USD 0.38 /GBP 0.38</li> <li>• Trading report fee: CHF 1 /EUR 1 /USD 1 / GBP 1</li> </ul>		<ul style="list-style-type: none"> <li>• Dividend tax: 35% of dividend</li> <li>• Cash Dividend, Bonus Collection, Scrip Dividend collection fee: CHF15/EUR15/USD15/GBP15 per stock</li> </ul>
United Kingdom	GBP/ USD/ EUR	15:00-23:30 (Summer Time) 16:00-00:30 (Winter Time)	T+2	<ul style="list-style-type: none"> <li>• PTM Levy: GBP 1.50 / USD 1.88 / EUR 1.80</li> <li>• Stamp duty: 0.50% (Buy orders only)</li> <li>• Clearing fee: GBP 0.06 / USD 0.08 / EUR 0.06</li> <li>• Trading fee: 0.0045% (Min GBP 0.11 / USD 0.13 / EUR 0.11)</li> </ul>	<ul style="list-style-type: none"> <li>• Stock delivery charges: GBP130.00 / USD 150.00 per stock / EUR130.00</li> <li>• GDR custodian fee (If any): at least USD0.03 per share (record date decided by GDR agencies)</li> </ul>	<ul style="list-style-type: none"> <li>• Dividend tax: 10% of dividend</li> <li>• Dividend collection fee: GBP 12.00 / USD12.00 / EUR 12.00 per stock</li> </ul>

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<b>Stock</b>						
Korea	KRW (Settlement Cur: USD)	08:00-14:20	T+2	<ul style="list-style-type: none"> <li>Handling fee#: KRW 35,000.00</li> <li>Selling tax: 0.15% of transaction amount (Sell order only)</li> </ul>		<ul style="list-style-type: none"> <li>Dividend tax: 22% of dividend (subject to stock)</li> <li>Dividend collection fee: KRW 15,000.00</li> </ul>
Malaysia	MYR (Settlement Cur: HKD)	09:00-12:30/ 14:30-16:45	T+2	<ul style="list-style-type: none"> <li>Stamp duty: MYR1.00 for every MYR1,000.00 (or fractional part) of transaction value of securities, Min MYR1.00, Max MYR1,000.00</li> <li>Clearing fee: 0.03%, Max MYR1,000.00</li> </ul>	<ul style="list-style-type: none"> <li>Nominees fee: 0.025% per annum, charged monthly</li> <li>Custodial charges: MYR0.01 per 1,000 shares, charged quarterly</li> <li>Stock delivery charges: MYR660.00 per stock</li> </ul>	<ul style="list-style-type: none"> <li>Dividend collection fee: MYR50.00 per stock</li> <li>Rights exercise charges: MYR40.00 per stock</li> <li>Bonus collection fee: MYR10.00 per stock</li> </ul>
Singapore	SGD/ USD	09:00-12:00/ 13:00-17:00	Buy: T+1 Sell: T+3	<ul style="list-style-type: none"> <li>Clearing fee: 0.0325%</li> <li>Trading fee: 0.0075%</li> </ul>	<ul style="list-style-type: none"> <li>Stock delivery charges: SGD200.00 per stock</li> </ul>	<ul style="list-style-type: none"> <li>Dividend handling charges: 0.11% of dividend (Min SGD25.00 / USD14.00, Max SGD150.00 / USD85.00)</li> <li>Rights exercise charges: 0.25% of exercise amount (Min SGD25.00 / USD14.00)</li> <li>Bonus charges: SGD25.00 per stock</li> </ul>
Taiwan	NTD (Settlement Cur: HKD)	09:00-13:25	T+2	<ul style="list-style-type: none"> <li>Transaction fee: NTD900 per order</li> <li>Sell order only:               <ul style="list-style-type: none"> <li>Equity transaction tax: 0.30% amount</li> <li>ETF &amp; Warrant transaction tax: 0.10%</li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>Custodial charges: 0.10% per annum, charged quarterly</li> </ul>	<ul style="list-style-type: none"> <li>Dividend tax: 21% of dividend</li> <li>Dividend collection fees: 0.50% of dividend (min NTD1,800.00)</li> </ul>
Japan	JPY	08:00-10:30/ 11:30-14:30	T+2	<ul style="list-style-type: none"> <li>Handling fee#: JPY2,500.00</li> </ul>	<ul style="list-style-type: none"> <li>JASDEC Fees: JPY0.40 per lot per month</li> <li>Stock delivery &amp; receipt charges: JPY20,000.00 per stock</li> </ul>	<ul style="list-style-type: none"> <li>Dividend tax: 15.315% of dividend</li> <li>Dividend bank charges: JPY2,500.00</li> <li>Rights exercise: 0.25% of exercise amount</li> <li>Bonus collection fee: JPY1,800.00 per stock</li> </ul>

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<b>Stock</b>						
US	USD	21:30-04:00 (Summer Time) 22:30-05:00 (Winter Time)	T+1	Sell order only: <ul style="list-style-type: none"> <li>TAF (Trading activity fee): USD 0.000166 per share (Min USD0.01, Max USD 8.30)</li> <li>SEC Fee: 0.0000278 x consideration per order (Min USD0.01)</li> </ul>	<ul style="list-style-type: none"> <li>Stock delivery charges: USD150.00 per stock</li> <li>DRS transfer fee (in/out): USD150.00 per stock (in addition to the above)</li> <li>ADR custodian fee (If any): at least USD0.03 per share (record date decided by ADR agencies)</li> </ul>	<ul style="list-style-type: none"> <li>Dividend withholding tax: 0-30%* *Subject to change by relevant Country tax policy</li> <li>Div collection fees: USD12.00 per stock</li> <li>Bonus collection fee: USD12.00 per stock</li> <li>ADR stock conversion: USD 0.05 per ADR share + Flat Fee USD 1,000 (in addition to the above)</li> <li>SPAC Redemption: USD 150 per stock*</li> <li>SPAC Separation: USD 350 per stock*</li> <li>* Subject to change according to the actual event situation</li> </ul>
Vietnam	VND**	10:00-12:30/ 14:00-15:30	T+3	<ul style="list-style-type: none"> <li>Stamp Duty: 0.10% (Sell order only)</li> </ul>	<ul style="list-style-type: none"> <li>Custodian fee: VND0.40 per share per month</li> </ul>	<ul style="list-style-type: none"> <li>Dividend tax: 5% of dividend</li> <li>Dividend collection fee: VND150,000.00 per stock</li> </ul>

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### Overseas market and bonds fees schedule (Effective from 30 Apr 2025)

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<b>Bonds</b>						
Bonds		09:30-11:30/ 13:00-17:00	Subject to market arrangement	<ul style="list-style-type: none"> <li>Trading fee: 0.00565% per transaction (for the bonds fall under the category of "Equity Securities" only)</li> <li>Levy &amp; FRC Levy: 0.0027% &amp; 0.00015% per transaction (for Chapter 37 Debts Securities only)</li> </ul>	<ul style="list-style-type: none"> <li>0.030% p.a. of the bond market value (Collected at every month-end, Min HKD200.00)</li> <li>Transfer by Bought &amp; Sold Note: 0.1% of last market value per side + IRD charge (if any)</li> <li>Bonds delivery charges: HKD300.00 per transaction</li> <li>Bonds receive/delivery (between Bonds &amp; Bond Direct market only) charges: HKD600.00 per transaction</li> </ul>	
Bond Direct		16:00-04:00 (Summer Time) 17:00-05:00 (Winter Time)	Subject to market arrangement	<ul style="list-style-type: none"> <li>FINRA Trading Activity Fee: USD 0.00105*Quantity Sold (Max USD1.05 per trade, for sell order only)</li> </ul>	<ul style="list-style-type: none"> <li>Transfer by Bought &amp; Sold Note: 0.1% of last market value per side + IRD charge (if any)</li> <li>Bonds delivery charges: HKD300.00 per transaction</li> <li>Bonds receive/delivery (between Bonds &amp; Bond Direct market only) charges: HKD600.00 per transaction</li> </ul>	

#### Other Fees:

- Spanish Financial Transaction Tax (All Market & Buy orders only) (Only applicable for Spanish Company) - Tax Rate: 0.20% of transaction amount
- French Financial Transaction Tax (All Market & Buy orders only) (Only applicable for French Company) - Tax Rate: 0.40% of transaction amount
- Proxy Voting / Apply for Attending Shareholders Meeting: USD 50.00 (Plus fees collected by other involved broker(s) where applicable)
- Additional fees may apply. Commissions and fees are subject to change without notice
- \*\* Only USD is acceptable for exchange of VND. A handling fee of HKD 200 will be charged if the USD amount exceeds 10,000.
- # Not applicable to Delivery versus Payment (DvP)