

GTJAI

US Stock Options Guideline

For enquiries, please contact:

CS Hotline : 40080 95521 / 852 – 2509 7524

HK Shares Dealing Hotline : 852 – 2250 0898 / 852 – 2250 0899

24-hour Dealing Hotline : 852 – 2509 7526

Date: 19 JUL 2024



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GUOTAI JUNAN INTERNATIONAL

Content:

- (A) Register 2FA App “HandyKey”
- (B) 2FA Login
- (C) Client Account Locked
- (D) Change Password
- (E) Reset Password
- (F) Market Data Subscriptions
- (G) Internal Transfer to US Stock Options Account



(A) Register 2FA App “HandyKey”



(A) Register 2FA App “HandyKey”

- (1) Download mobile App “HandyKey”



App Store:

<https://itunes.apple.com/us/app/handydsa/id973527717?mt=8>

Google Play Store:

<https://play.google.com/store/apps/details?id=com.clientam.handydsa&hl=en>

APK Download Link:

<https://www.ibtws.com.cn/atws/HandyKey.apk>



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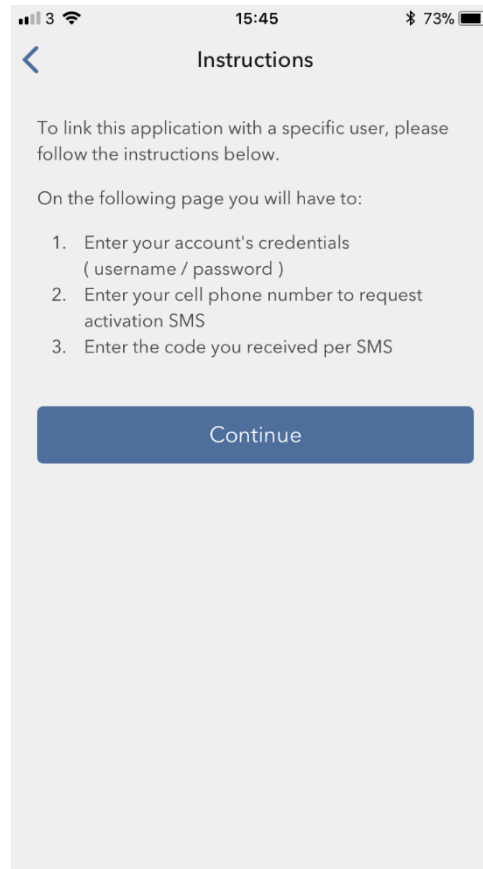
(A) Register 2FA App “HandyKey”

- (2) Open mobile App “HandyKey” and press “Register Two-Factor”



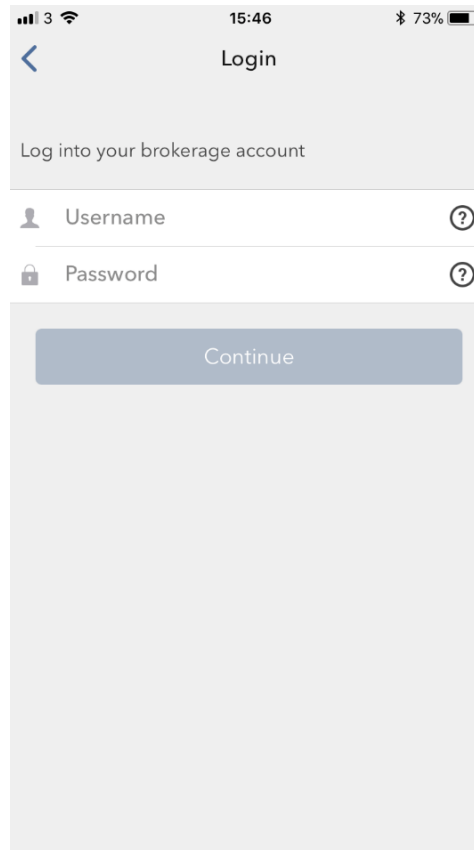
(A) Register 2FA App “HandyKey”

- (3) Read the instructions and press “Continue”



(A) Register 2FA App “HandyKey”

- (4) Enter Username and Password, then press “Continue”



The screenshot shows a mobile app interface for logging into a brokerage account. At the top, the status bar displays signal strength, 3G network, time 15:46, and 73% battery. The app header has a back arrow and the title 'Login'. Below the header, the text 'Log into your brokerage account' is displayed. There are two input fields: 'Username' with a person icon and 'Password' with a lock icon. Both fields have a question mark icon to the right. A blue 'Continue' button is positioned below the password field.

(A) Register 2FA App “HandyKey”

- (5) Enter Mobile Number for receiving Activation Code
- (6) Enter Activation Code from SMS message

SMC HK 09:47 98%

< Verification

Enter SMS Code

Activation Code ⓘ

Activate

PLEASE NOTE: Depending on your Mobile Service Provider, the delivery of this code may take a few minutes. Please allow at least 3 minutes for the reception of the message before requesting a new code.



Your requested authentication
code: **386105**



SMC HK 09:48 98%

< Verification

Enter SMS Code

386105 ⓘ

Activate

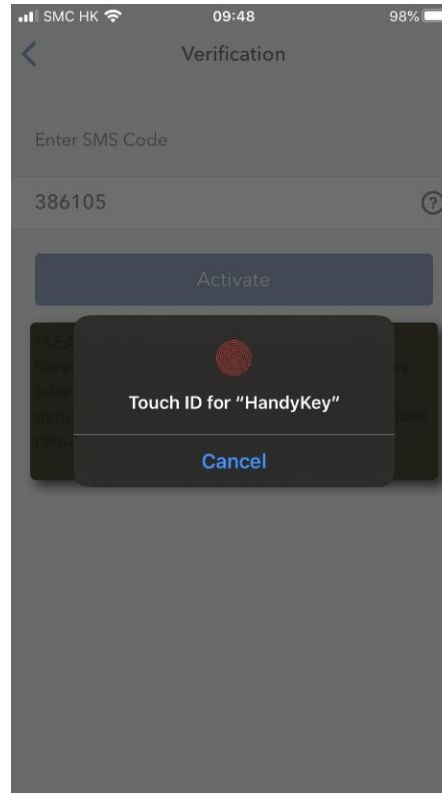
PLEASE NOTE: Depending on your Mobile Service Provider, the delivery of this code may take a few minutes. Please allow at least 3 minutes for the reception of the message before requesting a new code.



(A) Register 2FA App “HandyKey”

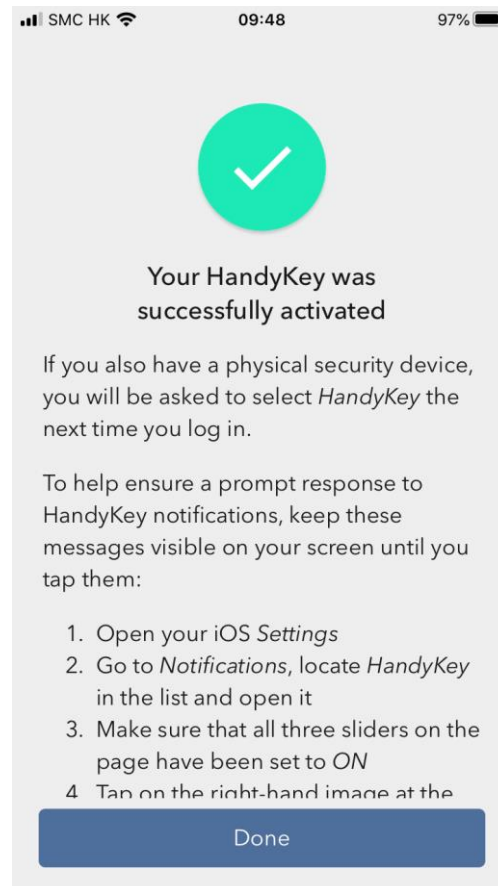
- (7) Complete the authentication

*It depends on the biometric authentication of your mobile device, such as fingerprint, face detection or PIN code)



(A) Register 2FA App “HandyKey”

- (8) Successfully complete the enrollment process and press “Done”



(B) 2FA Login



(B) 2FA Login

- (1) Login Trading Platform <https://usoption.gtjai.com>
Enter Username and Password then press “Login” , and a notification message will be sent to mobile

1.
Enter username
and password

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中央編號: ABY236 CE Number: ABY236

Live ☐ Paper

Login

Username

Password

Need help?

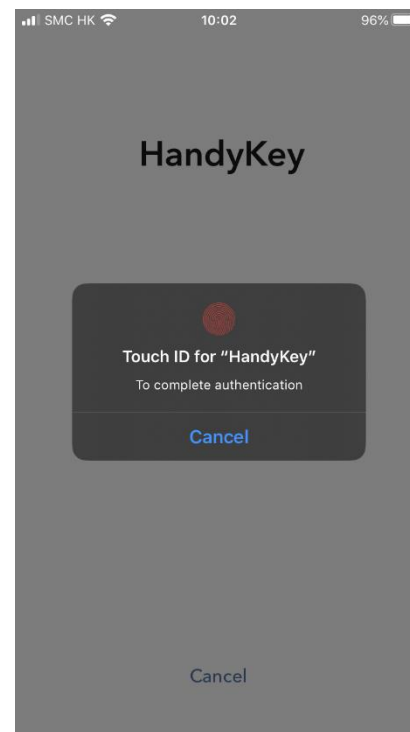
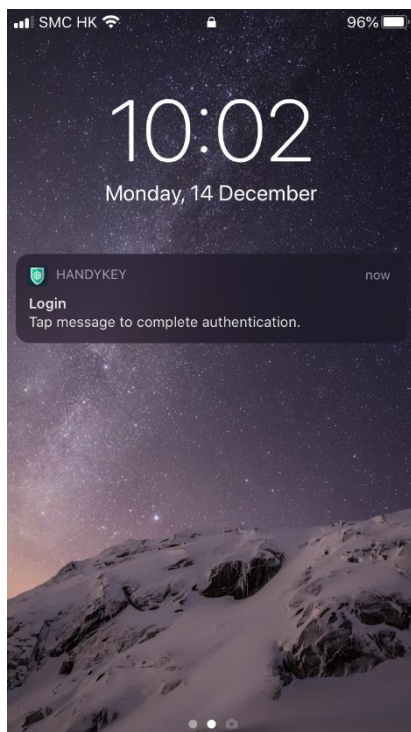
Login

2.
Press “Login”

(B) 2FA Login

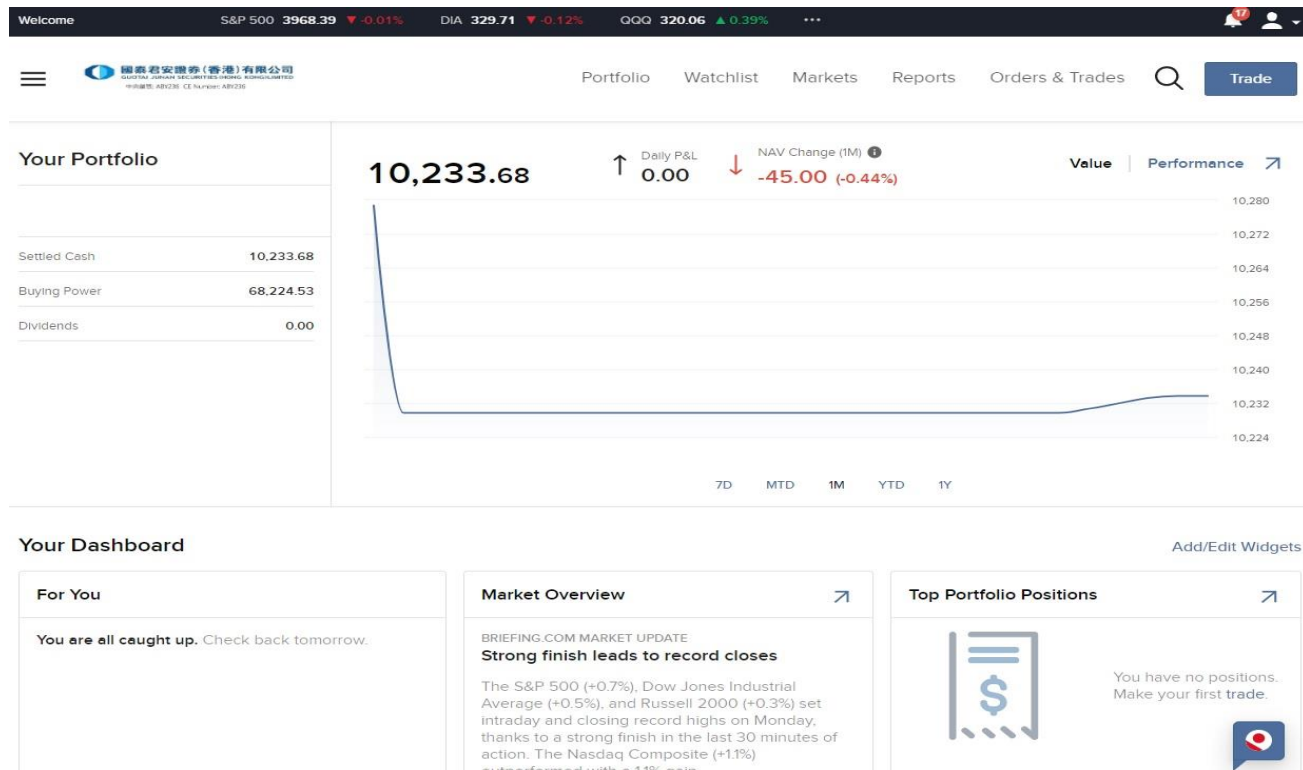
- (2) Open mobile App “HandyKey” to complete 2FA

*It depends on the biometric authentication of your mobile device, such as fingerprint, face detection or PIN code



(B) 2FA Login

- (3) The page will be directed to the trading platform after the completion of 2FA



(C) Client Account Locked



(C) Client Account Locked

- If client enter password incorrectly for 10 times, the account will be locked.
- Please contact our 24-hr dealing hotline at (852) 2509 7526 to unlock account.



(D) Change Password



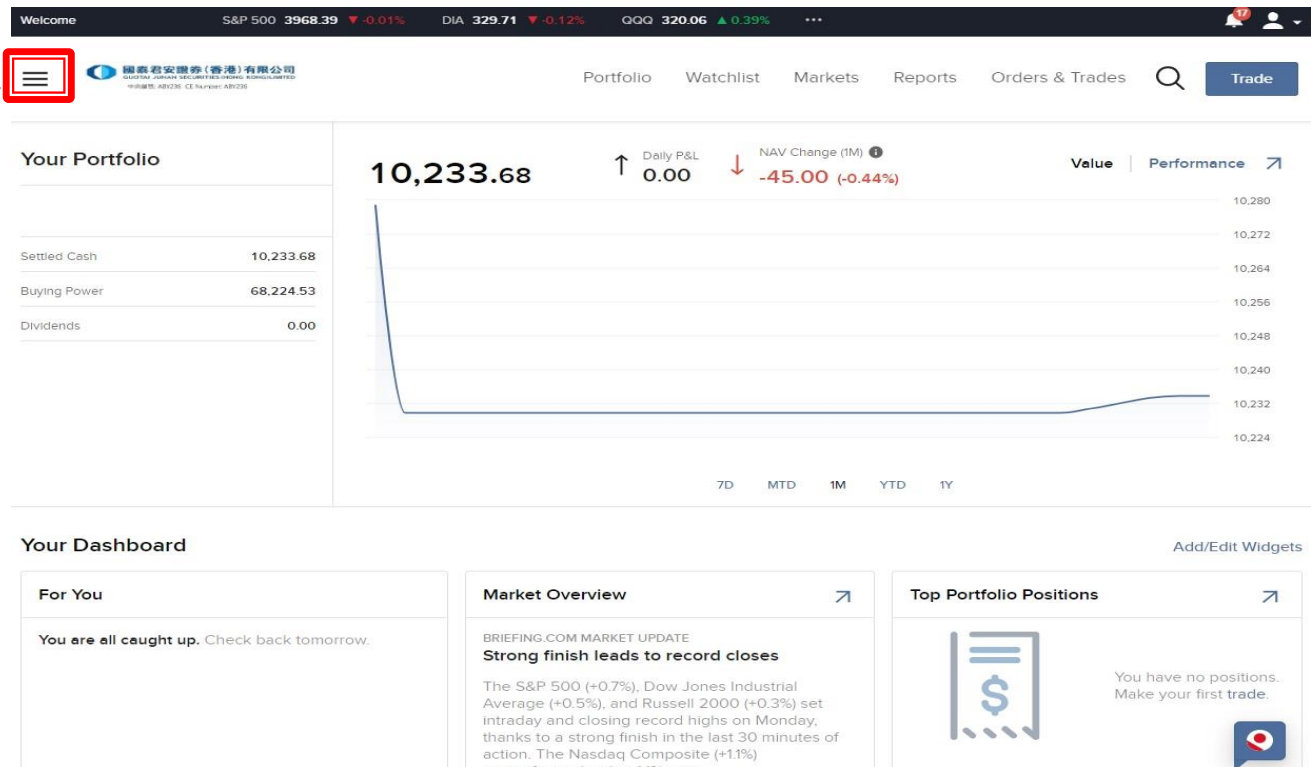
(D) Change Password

- (1) Login to Trading Platform

<https://usoption.gtjai.com>

- (2) Select Menu ☰

1.
Select Menu



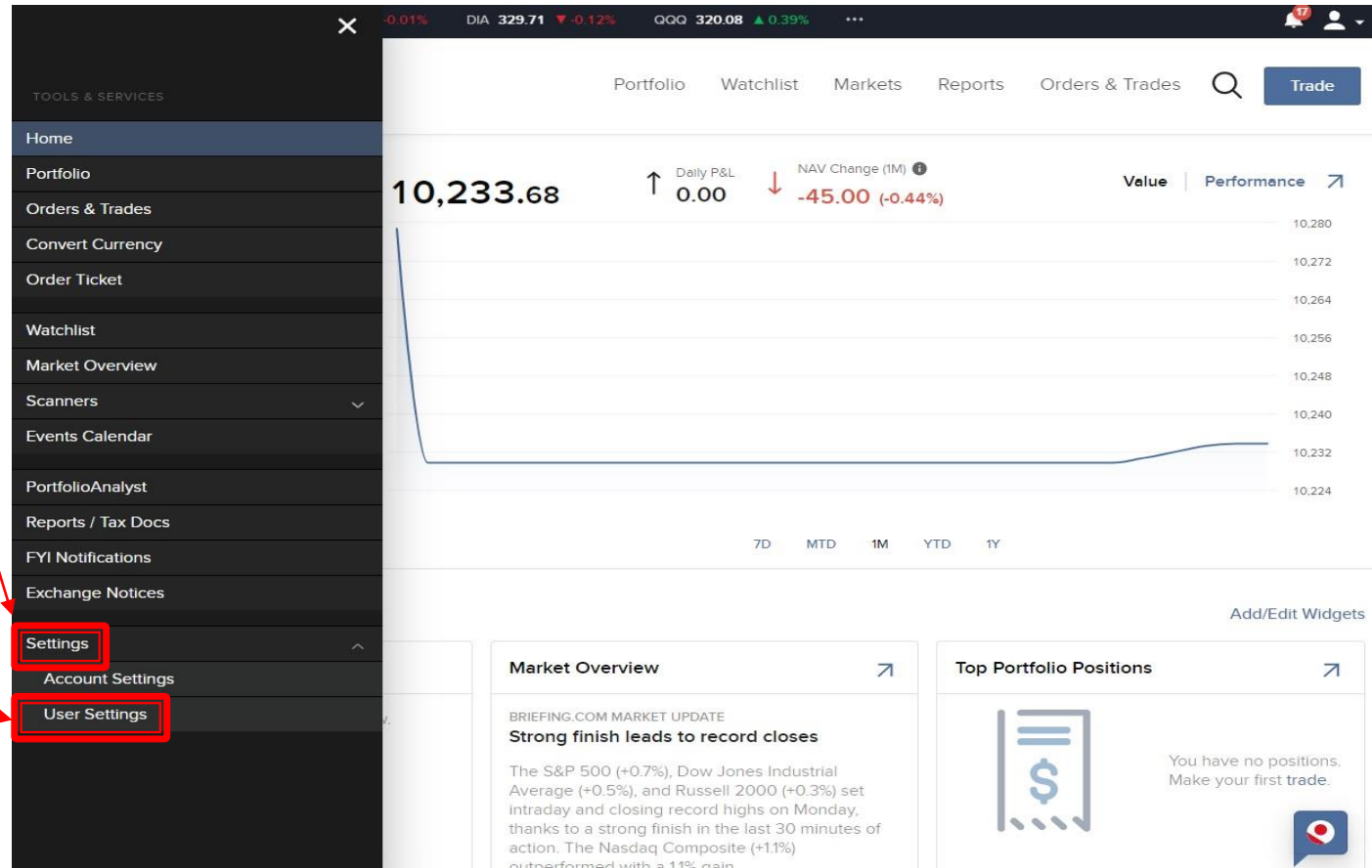
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(D) Change Password

- (3) Select Settings > User Settings

2.
Select Settings

3.
Select User
Settings



(D) Change Password

- (4) Select Password

Welcome S&P 500 3968.39 ▼ -0.01% DIA 329.71 ▼ -0.12% QQQ 320.20 ▲ 0.43% ...

國泰君安證券(香港)有限公司
GUOTAI JUNAN SECURITIES (HONG KONG) LIMITED
中央編號: AB1235 CE Number: AB1235

Portfolio Watchlist Markets Reports Orders & Trades Trade

User Settings

Login

Password

Communication

Email Address

Mobile Number

Trade Execution Notification

Security

Secure Login System

Trading Platform

Read-Only Access	Enabled	
Market Data Subscriptions		
Research Subscriptions		
Asia Gateway Access	Disabled	

4. Select Password

(D) Change Password

- (5) Change Password

Welcome S&P 500 3968.39 ▼ -0.01% DIA 329.60 ▼ -0.15% QQQ 320.05 ▲ 0.38% ...

國泰君安證券(香港)有限公司
GUOTAI JUNAN SECURITIES (HONG KONG) LIMITED
中央編號: ABY235 CE Number: ABY235

Portfolio Watchlist Markets Reports Orders & Trades Trade

Password

User Settings / Password

Change Password

Username

Password

Required

New Password

Required

Confirm New Password

Required

5. Enter original password

6. Enter new password twice

7. Press "Continue"

Continue

(E) Reset Password



(E) Reset Password

- If client forgot password, please contact our 24-hr dealing hotline at (852) 2509 7526 to reset password.
- One-time Password will be sent to client by SMS message.



(E) Reset Password

- (1) Contact us to request reset password, a one-time password will be sent to your registered mobile phone number
- (2) Login trading platform to complete the reset password process

<https://usoption.gtjai.com>



(E) Reset Password

- (3) Enter Username & one-time password from SMS message, then press "Login"

Your new one-time password:
s6774346 Please change this
password immediately - it will
expire on 03:29 Eastern Standard
Time.



3.
Press "Login"

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中央編號: ABY236 CE Number: ABY236

Live ☐ Paper

Login

Username

Password

Login

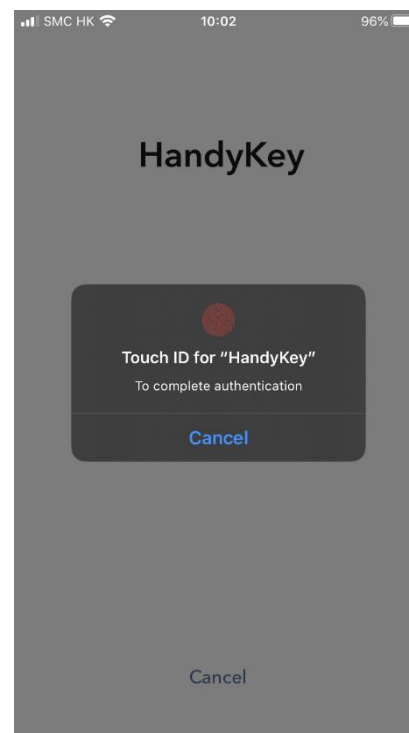
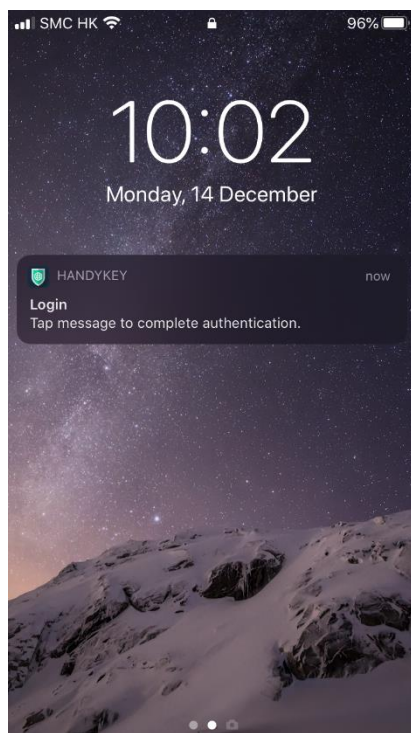
1.
Enter username

2.
Enter one-time password
from SMS message
(Example: s6774346)

(E) Reset Password



- (4) Open mobile App “HandyKey” to complete 2FA


*It depends on the biometric authentication of your mobile device, such as fingerprint, face detection or PIN code




(E) Reset Password

- (5) Reset password

Welcome,  

 國泰君安證券(香港)有限公司
GUOTAI JUNAN SECURITIES (HONG KONG) LIMITED



Your password has expired. Create a new password below to continue.



Change Password


Username	<input type="password" value="....."/>
Password	<input type="password" value="Required"/>
New Password	<input type="password" value="Required"/>

4.
Enter one-time password
from SMS message
(Example: s6774346)

(E) Reset Password

- (5) Reset password

Welcome,  

 國泰君安證券(香港)有限公司
GUOTAI JUNAN SECURITIES (HONG KONG) LIMITED

New Password

Confirm New Password

5. Enter new password twice

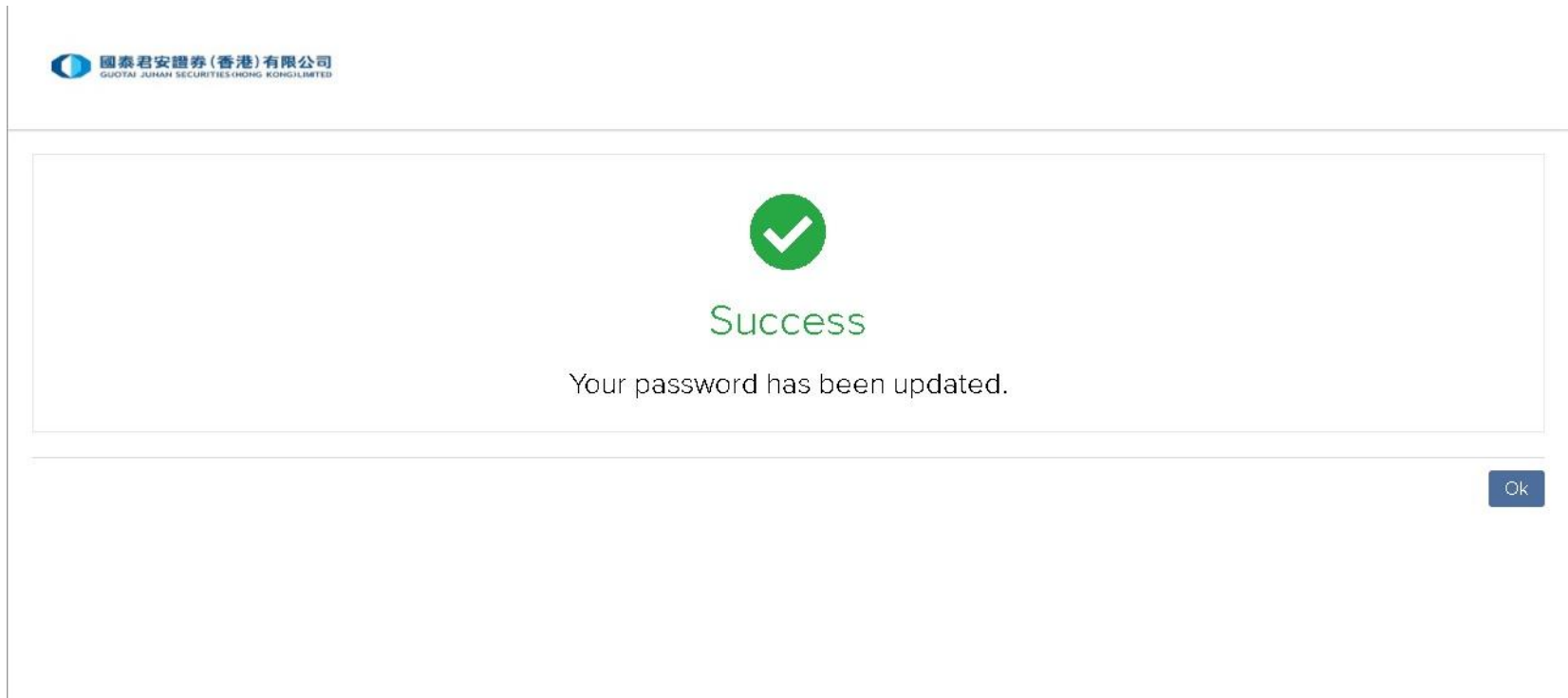
Password Requirements

- ✓ Cannot match username
- ✓ Must be between 8 and 40 characters
- ✓ Must contain at least 1 letter
- ✓ Must contain at least 1 number

6. Press "Continue"

(E) Reset Password

- (6) Successfully reset password



(F) Market Data Subscriptions



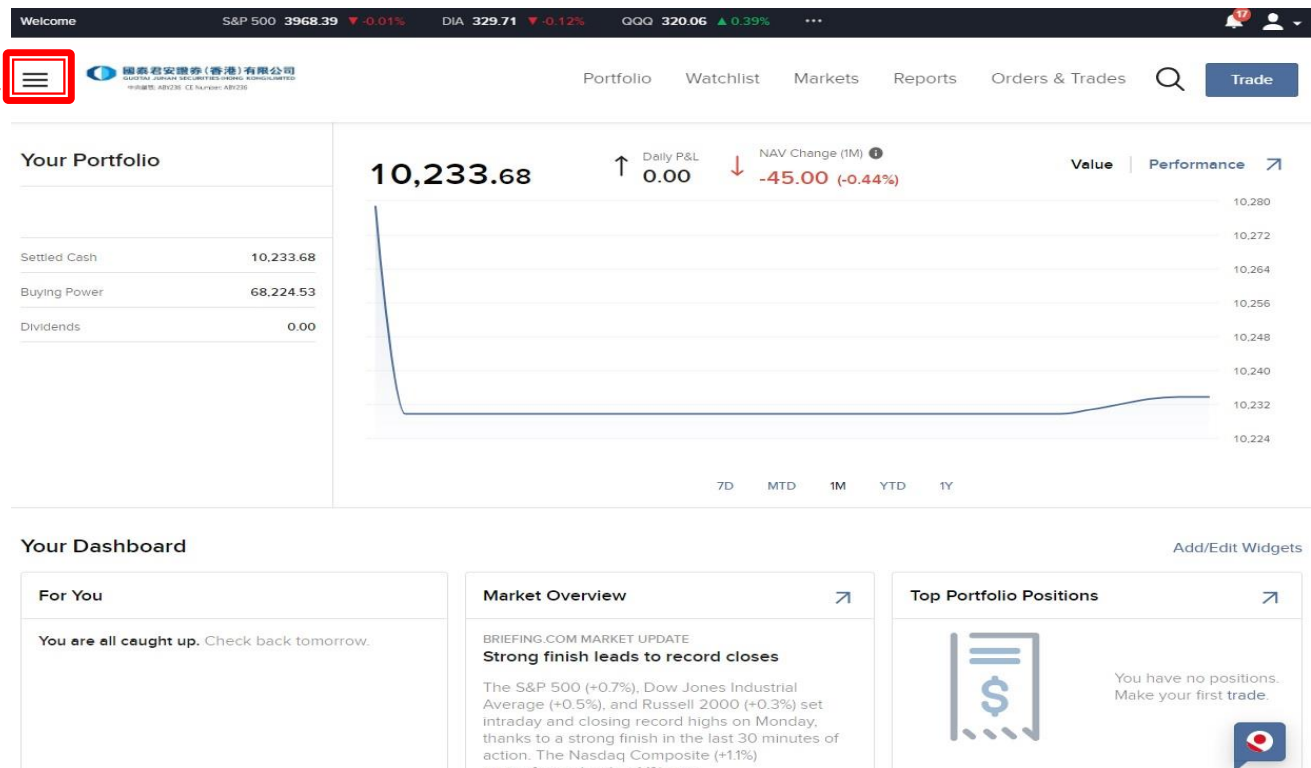
(F) Market Data Subscriptions

- (1) Login to Trading Platform

<https://usoption.gtjai.com>

- (2) Select Menu ☰

1.
Select Menu



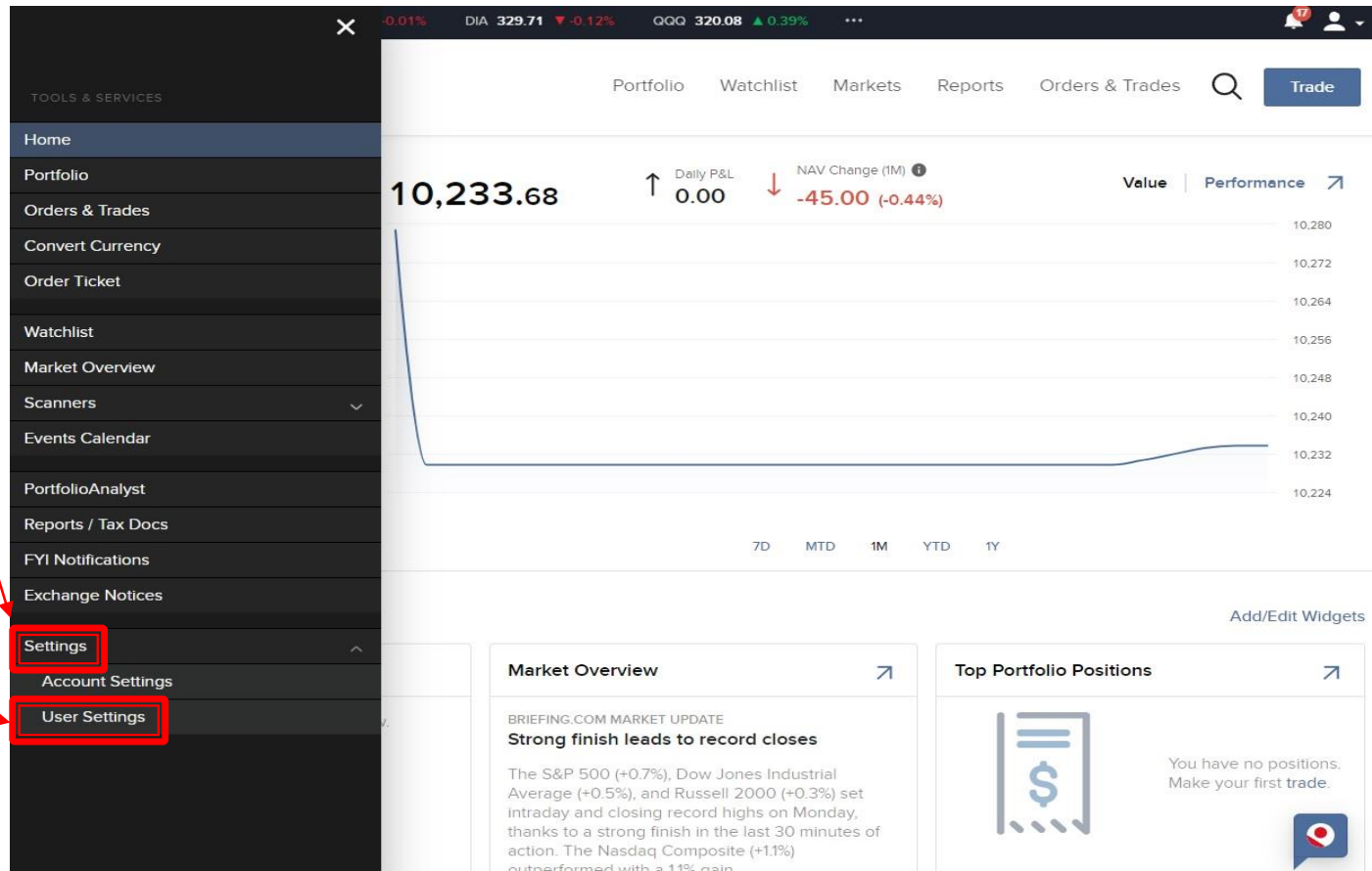
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GUOTAI JUNAN INTERNATIONAL

(F) Market Data Subscriptions

- (3) Select Settings > User Settings

2.
Select Settings

3.
Select User
Settings



(F) Market Data Subscriptions

- (4) Select Market Data Subscriptions

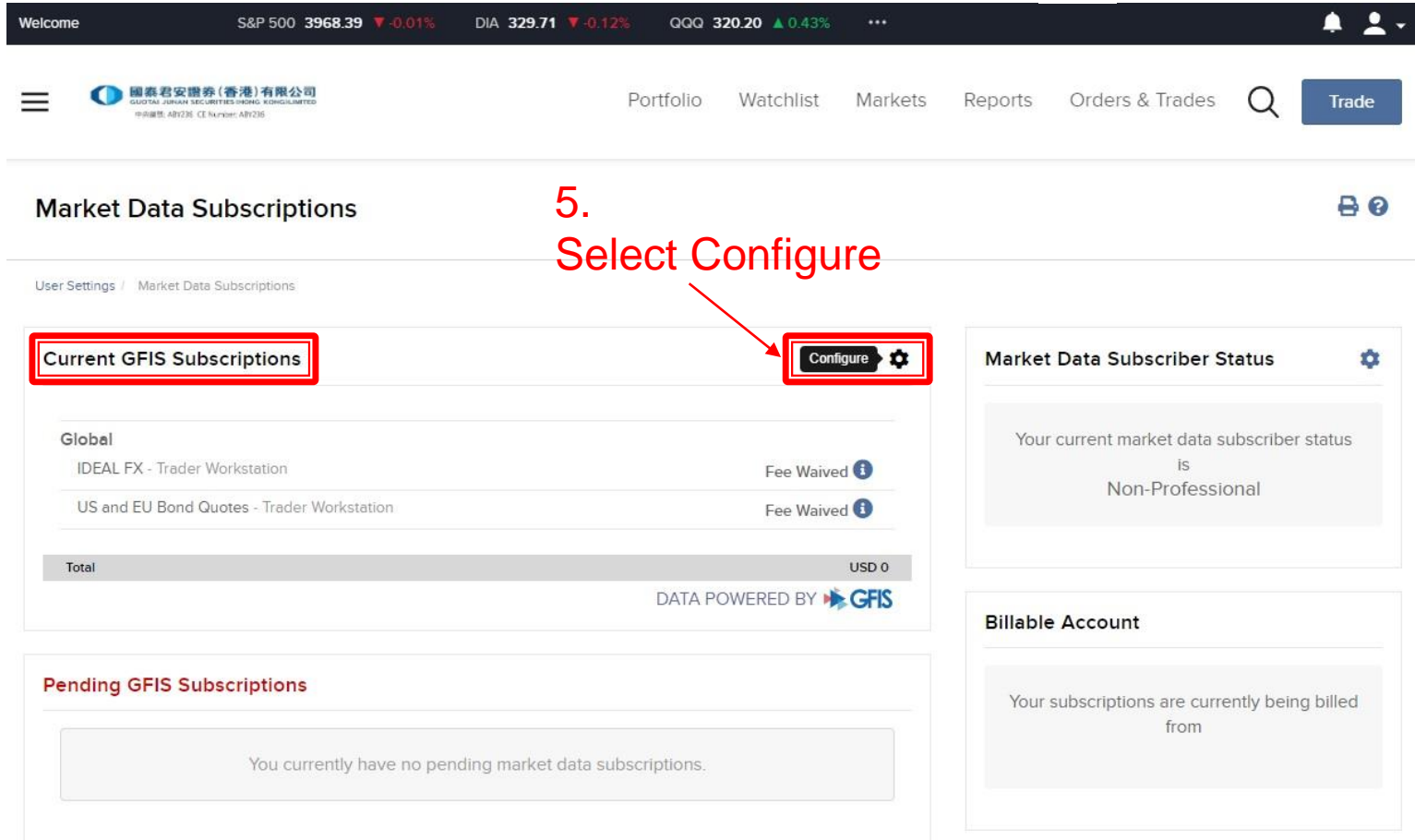
The screenshot displays the 'User Settings' page of the Guotai Junan International trading platform. The top navigation bar includes market data for S&P 500, DIA, and QQQ. The main content area is divided into three sections: 'Login', 'Communication', and 'Security' on the left, and 'Trading Platform' on the right. In the 'Trading Platform' section, the 'Market Data Subscriptions' option is highlighted with a red box, and a red arrow points to its settings gear icon. The 'Market Data Subscriptions' option is currently disabled.

Setting	Status	Action
Read-Only Access	Enabled	Settings
Market Data Subscriptions	Disabled	Settings
Research Subscriptions	Disabled	Settings
Asia Gateway Access	Disabled	Settings

4.
Select Market Data Subscriptions

(F) Market Data Subscriptions

- (5) Select Configure under Current GFIS Subscriptions 



The screenshot shows the 'Market Data Subscriptions' page. At the top, a dark navigation bar displays market indices: S&P 500 at 3968.39 (-0.01%), DIA at 329.71 (-0.12%), and QQQ at 320.20 (0.43%). Below this, the page header includes the company logo '國泰君安證券(香港)有限公司' and navigation links for Portfolio, Watchlist, Markets, Reports, Orders & Trades, and a Trade button. The main content area is titled 'Market Data Subscriptions' and contains a section for 'Current GFIS Subscriptions'. This section lists two subscriptions: 'Global IDEAL FX - Trader Workstation' and 'US and EU Bond Quotes - Trader Workstation', both with a 'Fee Waived' status. A red box highlights the 'Current GFIS Subscriptions' title, and another red box highlights the 'Configure' button with a gear icon. A red arrow points from the text '5. Select Configure' to the 'Configure' button. Below the current subscriptions is a section for 'Pending GFIS Subscriptions' which states 'You currently have no pending market data subscriptions.' To the right, there are two side panels: 'Market Data Subscriber Status' showing 'Non-Professional' status, and 'Billable Account' showing current billing information. The bottom right corner features the company logo and name '國泰君安國際 GUOTAI JUNAN INTERNATIONAL'.

Welcome S&P 500 3968.39 ▼ -0.01% DIA 329.71 ▼ -0.12% QQQ 320.20 ▲ 0.43% ...

國泰君安證券(香港)有限公司
GUOTAI JUNAN SECURITIES (HONG KONG) LIMITED
中央編號: ABY236 CE Number: ABY236

Portfolio Watchlist Markets Reports Orders & Trades Trade

Market Data Subscriptions

User Settings / Market Data Subscriptions

Current GFIS Subscriptions

Global	
IDEAL FX - Trader Workstation	Fee Waived ⓘ
US and EU Bond Quotes - Trader Workstation	Fee Waived ⓘ
Total	USD 0

DATA POWERED BY GFIS

Pending GFIS Subscriptions

You currently have no pending market data subscriptions.

Market Data Subscriber Status

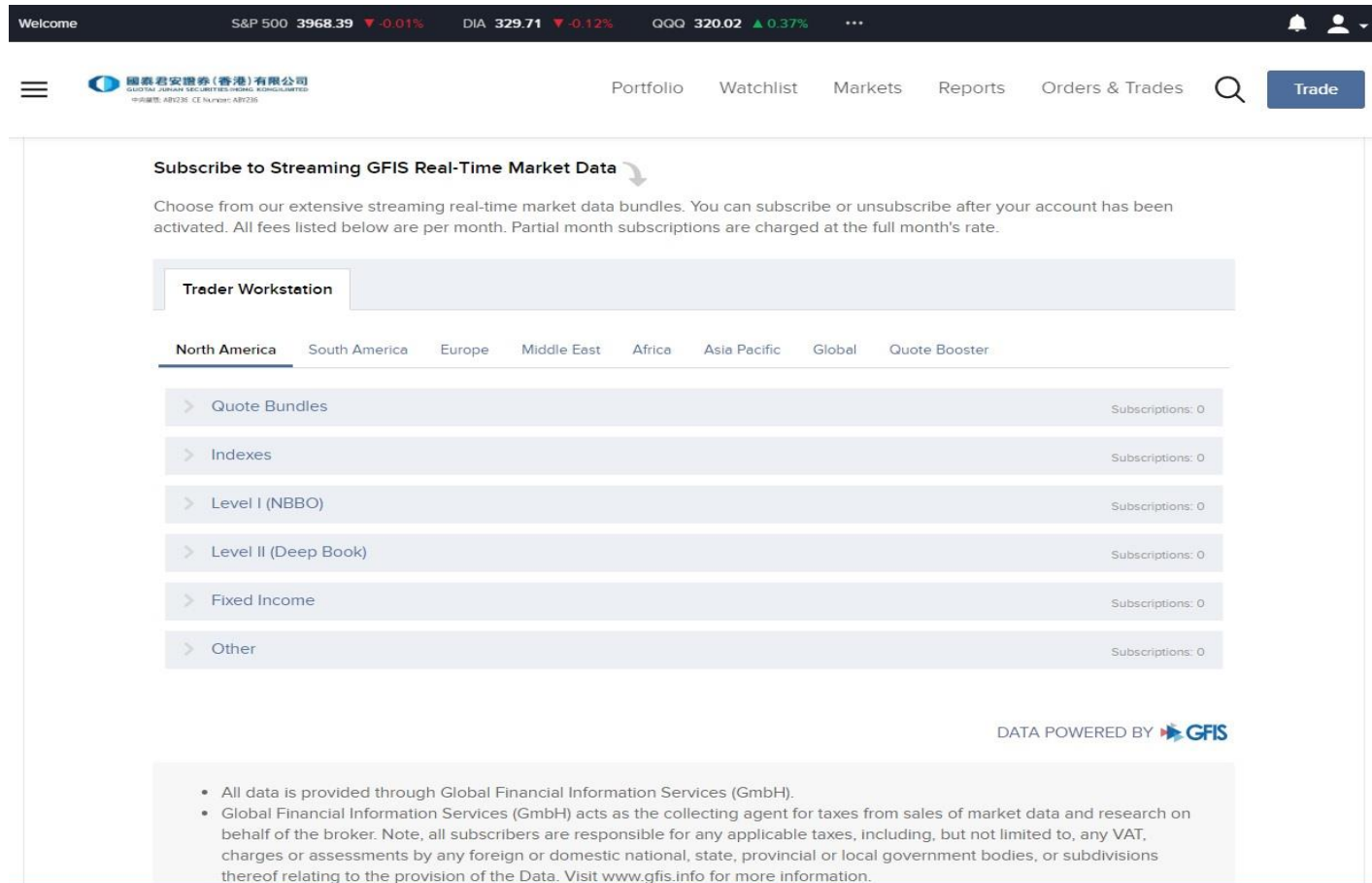
Your current market data subscriber status is Non-Professional

Billable Account

Your subscriptions are currently being billed from

(F) Market Data Subscriptions

- (6) Select Real-Time Market Data on your needs



Welcome S&P 500 3968.39 ▼ -0.01% DIA 329.71 ▼ -0.12% QQQ 320.02 ▲ 0.37% ...

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GUOTAI JUNAN SECURITIES (HONG KONG) LIMITED
中港證券, AR236 CE Number: AR236

Portfolio Watchlist Markets Reports Orders & Trades Trade

Subscribe to Streaming GFIS Real-Time Market Data

Choose from our extensive streaming real-time market data bundles. You can subscribe or unsubscribe after your account has been activated. All fees listed below are per month. Partial month subscriptions are charged at the full month's rate.

Trader Workstation

North America South America Europe Middle East Africa Asia Pacific Global Quote Booster

> Quote Bundles	Subscriptions: 0
> Indexes	Subscriptions: 0
> Level I (NBBO)	Subscriptions: 0
> Level II (Deep Book)	Subscriptions: 0
> Fixed Income	Subscriptions: 0
> Other	Subscriptions: 0

DATA POWERED BY GFIS

• All data is provided through Global Financial Information Services (GmbH).
• Global Financial Information Services (GmbH) acts as the collecting agent for taxes from sales of market data and research on behalf of the broker. Note, all subscribers are responsible for any applicable taxes, including, but not limited to, any VAT, charges or assessments by any foreign or domestic national, state, provincial or local government bodies, or subdivisions thereof relating to the provision of the Data. Visit www.gfis.info for more information.

(F) Market Data Subscriptions

- (6) Select Real-Time Market Data on your needs

The screenshot displays the 'Trader Workstation' interface of Guotai Junan International. At the top, a dark navigation bar shows market indices: S&P 500 at 3968.39 (-0.01%), DIA at 329.71 (-0.12%), and QQQ at 320.02 (+0.37%). Below this, a light blue header contains the company logo and name in Chinese and English, along with navigation links for Portfolio, Watchlist, Markets, Reports, Orders & Trades, and a Trade button. The main content area is titled 'Trader Workstation' and features a tabbed interface with 'North America' selected. Under the 'Quote Bundles' section, three subscription options are listed, each with a checkbox and a description:

- Cboe One Add-On Bundle**: Realtime streaming quotes from the four Cboe US equity exchanges. In order to subscribe to Cboe One Add-On Bundle (NP,L1), the user must already be subscribed to US Securities Snapshot and Futures Value Bundle (NP). Fee is waived if commissions generated are greater than USD 5.00. Requires TWS Version 978 and above. **USD 1.00 /Month**. A monthly USD 1.00 fee will be waived whenever the monthly commissions generated in the account reaches USD 5.00.
- US Equity and Options Add-On Streaming Bundle**: Includes streaming realtime quotes for NYSE (CTA/Network A), AMEX (CTA/Network B), NASDAQ (UTP/Network C), and OPRA (US Options). In order to subscribe to US Equity and Options Add-On Streaming Bundle (NP), the user must already be subscribed to US Securities Snapshot and Futures Value Bundle (NP). **USD 4.50 /Month**.
- US Futures Value Bundle PLUS**

(F) Market Data Subscriptions

- Client can subscribe Real-Time Market Data depending on your needs, below are the examples for reference

Description	Details	Monthly Fee
NYSE (Network A/CTA) (NP,L1)	NonPro Real time market data for NYSE listed stocks. Provides top of book bid/ask/last quotes. Examples - CYS, TEL and LLY.	1.5 USD
NASDAQ (Network C/UTP) (NP,L1)	NonPro Provides real-time data bid/ask (top of book) quotes for NASDAQ listed securities. Examples - MSFT, CSCO and TSLA.	1.5 USD
OPRA (US Options Exchanges) (NP,L1)	NonPro Provides option data from AMEX, ARCA, BATS, BOX, BSE, CBOE2, CBOE, ISE NASDAQ AND PHLX.	1.5 USD
NYSE AMEX Options (NP,L2)	NonPro Depth of market data for options listed on the AMEX exchange. L2 only. Need OPRA (US Options Exchange) for L1.	11.5 USD
NYSE Arca Options (NP,L2)	NonPro Provides depth of book data for Arca options. L2 only. Need OPRA (US Options Exchange) for L1.	11.5 USD
US Equity and Options Add-On Streaming Bundle (NP)	NonPro Includes streaming realtime quotes for NYSE (CTA/Network A), AMEX (CTA/Network B), NASDAQ (UTP/Network C), and OPRA (US Options). In order to subscribe to US Equity and Options Add-On Streaming Bundle (NP), the user must already be subscribed to US Securities Snapshot and Futures Value Bundle (NP).	4.5 USD

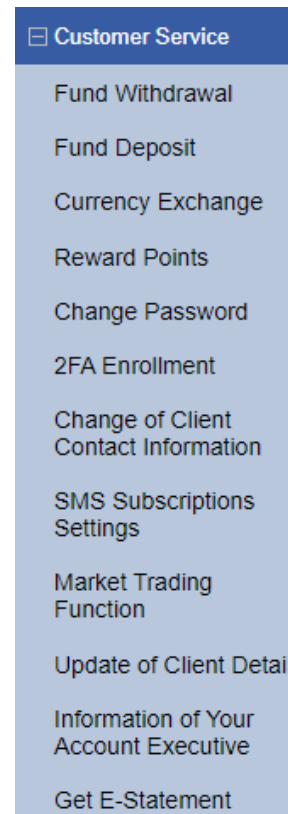


(G) Internal Transfer to US Stock Options Account



(G) Internal Transfer to US Stock Options Account

- (1) Login to GTJAI Online Trading Platform
https://trade.gtjai.com/oapp/page_en/common/login.html
- (2) Select Customer Service > Fund Withdrawal



(G) Internal Transfer to US Stock Options Account

- (3) Select Internal transfer (to Futures, FX, HK Stock Options or US Stock Options account)

Withdrawal Instruction

- ☐ Withdrawal instruction (HKD)
- ☐ Withdrawal instruction (Other currency)
- ☒ Internal transfer (to Futures, FX, HK Stock Options or US Stock Options account)

Next



(G) Internal Transfer to US Stock Options Account

- (4) Select “Securities to US Stock Options” and fill in internal transfer information, then submit

Internal transfer

Internal transfer record

Transfer direction

Securities to US Stock Options

Currency

USD

Amount

Remarks

Next

Reminder

- Instruction will be rejected if there is no available Futures, FX, HK Stock Options or US Stock Options account.
- Deadline for internal transfer is 16:00 in every working day, application received after the cut off time will be handled in next working day.

For enquiries, please contact:

CS Hotline : 40080 95521 / 852 – 2509 7524

HK Shares Dealing Hotline : 852 – 2250 0898 / 852 – 2250 0899

24-hour Dealing Hotline : 852 – 2509 7526



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